

## Methodology for selection of EIRs – version 1

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### Introduction

The EIR grant contribution for the citation and metrics tools is defined at 70 %. The remaining EIR grant is split equally among the other EIRs. The Project Charter sets a goal to maintain the EIR grant for other EIRs at 50 %. To reach this goal it is necessary to limit the selection of EIRs so that the EIR grant does not drop too far below the 50% benchmark.

### Method

EIRs are put in a descending order according to the number of votes. The threshold (i.e. minimum number of votes for an EIR) is set to avoid the EIR grant contribution to drop below 50 % for the selected EIRs. The settings follow the data for 2018 and the threshold will be applied in the subsequent years even if the EIR grant contribution dropped slightly below 50 %.

### Terminology

**EIR** is an electronic information resource that was approved by the Project Expert Council as a resource supporting science and research.

**CM EIRs** are 'citation and metrics' EIRs, i.e. citation databases Web of Science, Scopus and advanced tools InCites and SciVal.

**Other EIRs** is a term used for all EIRs that are not CM EIRs.

**EIR grant** is the financial support set aside for purchasing an EIR, regardless of whether the source of the finance is the OP RDE or the state budget.

**Total price of an EIR in CZK incl. VAT** is the amount paid to a publisher/supplier for a given EIR on behalf of the whole consortium. It is calculated in the following way: (total price in the original currency) x (exchange rate) x (1 + VAT). The CNB exchange rate ('ČNB deviza prodej') increased by 5 % (reserve) will be used for the preliminary calculation. VAT is 21%.

**EIR grant contribution** equals (EIR grant) / (total price of an EIR in CZK incl. VAT)

**List of other EIRs** is a list of EIRs without the CM EIRs

**Grant for other EIRs** is the total grant for purchasing EIRs reduced by the amount allocated for the CM EIRs (70 % of their price).

**PI** stands for a participating institution.



**Access to an EIR** is an institutional access to an EIR (IP ranges; verification via Shibboleth where authorisation is given on the basis of affiliation to the institution). Remote access for users of other institutions registered as individuals does not constitute access to an EIR.

**The number of PI votes** is the number of institutions that voted for the given EIR. In case that an institution provides access to EIRs for other institutions, its vote equals the number of such institutions (NB: In practise this is only relevant for specific cases such as the Academy of Sciences Library and Institute of Physiology CAS); such a case must be explicitly approved by the CzechELib Project Expert Council.

## The selection procedure

The threshold will be set on the basis of the institutions' requirements and the amount of EIR grant allocated for 2018. Such settings will be applied in the subsequent years unless a change of rules is recommended by the Project Expert Council and approved by the Project Steering Committee.

The following procedure will be applied to selection of every other EIR, whether proposed by the PI or offered by a supplier:

Fundamental conditions:

1. CzechELib will only support a resource that is of an interest to 3 or more PIs (3+ Criterion). This condition is obligatory but not sufficient.
2. EIR grant for a concrete EIR shall not drop below 50 % (The goal set in the Project Charter).

Selection procedure:

1. Preliminary pricing of the proposed EIRs will be conducted to the amount of at least 90 % of all EIRs.
2. EIRs will be put into descending order according to the number of PI votes. All EIRs with the same number of votes are equal.
3. The total prices of EIRs in CZK incl. VAT are added up starting from the EIR with the most votes. All EIRs with the same number of votes are added as a group.
4. At each step, the EIR grant contribution is calculated using the total price of EIR calculated in step 3.
5. If addition of a group results in a drop of EIR grant below 50%, then the whole group will be taken out and so will be all the groups with fewer votes. The threshold  $N$  is then set to the number of votes given to the EIR (or a group of EIRs) added last. This threshold will be applied in the years 2019 – 2022, i.e. the minimum number of votes for grant for EIR is  $N+$ .

The threshold for a receiving grant is based on the data from 2018. Taking into the account the declared principle of openness (i.e. new consortia can be set up in the future), the delayed set-up of other consortia (due to termination of some projects in the LR Programme and sustainability of the OP RDI) and finally the postponed issue of individual journals, it is possible that the requirements can increase in 2019 – 2022 to a point where the grant drops below 50 %.



In such a case the equal and non-discriminatory approach to EIRs is given priority over the goal of keeping the grant for EIRs above 50% and there is a possibility of a drop below 50% in 2019 - 2022.

Any financial surplus of the grant allocated to a given year will be transferred to the following year.

Regardless of the specific result of the selection process, CzechELib will negotiate with suppliers about resources that are of an interest to 10 or more PIs followed by resources important for 5 or more PIs.

